

PTO-1390 (Rev. 07-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

OT-5361

U.S. APPUCATION NO. (If known 9937 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/IB2004/000983	INTERNATIONAL FILING DATE 31 March 2004	PRIORITY DATE CLAIMED					
TITLE OF INVENTION ELEVATOR INSPECTION SYSTEM							
APPLICANT(S) FOR DO/EO/US Andres Monzon-Simon et al.							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. The US has been elected (Article 31).	The US has been elected (Article 31).						
5. A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
a. is attached hereto (required	a. Is attached hereto (required only if not communicated by the International Bureau).						
b. has been communicated by	b. has been communicated by the International Bureau.						
c. is not required, as the appli	c. is not required, as the application was filed in the United States Receiving Office (RO/US).						
6. An English language translation of th	e International Application as filed (35 U.S.C	C. 371(c)(2)).					
a. is attached hereto.	a. is attached hereto.						
b. has been previously submi	b. has been previously submitted under 35 U.S.C. 154(d)(4).						
7. Amendments to the claims of the Inte	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
a. are attached hereto (requ	a. are attached hereto (required only if not communicated by the International Bureau).						
b. have been communicated	b. have been communicated by the International Bureau.						
c. have not been made; how	c. have not been made; however, the time limit for making such amendments has NOT expired.						
d. have not been made and	d. Aave not been made and will not be made.						
8. An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern document(s	s) or information included:						
11. An Information Disclosure Statement	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12. An assignment document for recordi	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. A preliminary amendment.	A preliminary amendment.						
14. An Application Data Sheet under 37	An Application Data Sheet under 37 CFR 1.76.						
15. A substitute specification.	A substitute specification.						
16. A power of attorney and/or change of	A power of attorney and/or change of address letter.						
17. A computer-readable form of the sec	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18. A second copy of the published Inter	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English langua	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain of retain a benefit by the public, which is to like (and by its USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Page 1 of 3 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# IAP12 Rec'd PCT/PTO 23 AUG 2006

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U.S. APPLICAT	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.		ATTORNEY S DOCKET NOMBER			
	10/590299 PCT/IB2004/000983		OT-5361			
20. Other items or information: Form PTO-2038						
The follo	aurina face have h	oon submitted	·		CALCULATIONS	PTO USE ONLY
The following fees have been submitted  21.  Basic national fee (37 CFR 1.492(a))\$300			\$ 300			
22.						,
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations\$200					\$ 200	
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority			<sup>\$</sup> 400			
					900	-
TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets					
15 - 100 =	0 /50 =	0		x \$250	\$ 0	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			\$			
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	8	- 20 =	0	× \$50	\$ 0	
Independent clair	ms 3	- 3 =	0	× \$200	\$ 0	
MULTIPLE DEP	ENDENT CLAIM(S	6) (if applicable)		+ \$360	\$ 0	
TOTAL OF ABOVE CALCULATIONS =			\$ 900			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
SUBTOTAL =			\$ 900			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$			
TOTAL NATIONAL FEE =			\$ 900			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$			
TOTAL FEES ENCLOSED =			\$ 900			
		<u> </u>			Amount to be refunded:	\$
		<del></del>			Amount to be charged	\$

## [AP12 Rec'd PCT/PTO 2 3 AUG 2006]

19/590299

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to cover the above fees is enclosed. a. A check in the amount of \$ \_\_\_\_ to cover the above fees. Please charge my Deposit Account No. in the amount of \$ \_ A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit . A duplicate copy of this sheet is enclosed. Account No. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO:

Lisa A. Bongiovi Otis Elevator Company 10 Farm Springs Farmington, CT 06032

Date: August 23, 2006

Lisa A. Bongiovi

NAME 48,933

REGISTRATION NUMBER

#### **CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

I hereby certify that the correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EV 806909136 US			
Express Mail Number			
August 23, 2006			
Date			
	ana R.Ri		
	Signature		
	<b>U</b>		
	Ana R. Rivera		
<del></del>	Typed or printed name		

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

### Enclosures:

- 1. Form PTO-2038;
- 2. Transmittal Letter to the United States Designated/Elected Office Concerning a Filing under 35 U.S.C. 371;
- 3. Declaration of Inventorship;
- 4. Preliminary Amendment;
- 5. Information Disclosure Statement;
- 6. International Publication No. WO 2005/095249 A1;
- 7. Form PTO/SB/08A and PTO/SB/08B including references; and
- 8. International Search Report and Written Opinion of the International Searching Authority.